

Thermal degradation of the green-emitting  
 $\text{SrSi}_2\text{O}_2\text{N}_2\text{:Eu}^{2+}$  phosphor for solid state lightingChun-Yun Wang,<sup>ac</sup> Rong-Jun Xie,<sup>\*bd</sup> Fangzhi Li<sup>c</sup> and Xin Xu<sup>a</sup>Cite this: *J. Mater. Chem. C*, 2014, 2,  
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A phase pure  $\text{SrSi}_2\text{O}_2\text{N}_2\text{:Eu}^{2+}$  green phosphor was synthesized by a solid state reaction through careful control of the Sr : Si ratio in the starting powder consisting of  $\text{SrCO}_3$ ,  $\text{Si}_3\text{N}_4$  and  $\text{Eu}_2\text{O}_3$ . The thermal degradation of the phosphor was investigated by baking it at high temperatures for 2 h. The surface states of the samples before and after baking were analyzed by SEM, HRTEM, XPS, TGA/DTA, and high temperature *in situ* X-ray diffraction. The results showed that the thermal degradation became intense when the temperature was higher than 500 °C, and the degradation was caused by the formation of  $\text{SrSiO}_3$  on the particle surface and the oxidation of  $\text{Eu}^{2+}$  to  $\text{Eu}^{3+}$ . It is suggested that the thermal stability can be enhanced by achieving high crystallinity as well as high phase purity of the phosphor.

## 1. Introduction

In recent years, solid-state lighting sources have revolutionized an increasing number of applications due to their high efficiency, energy savings, and environmental benefits. White light-emitting diodes (wLEDs), known as the next-generation green and efficient solid-state lighting technology, are now penetrating into our daily life steadily, replacing incandescent bulbs/fluorescent tubes for general lighting, and cold cathode fluorescent lamps (CCFLs) for backlighting of liquid crystal displays.<sup>1,2</sup> In phosphor-converted wLED technologies, the emission of LED chips (blue or UV light) is down-converted into useful green, yellow, or red light by phosphors. Therefore, the phosphor is one of the key materials in solid state lighting, and it is required to have high quantum efficiency, suitable emission colors and emission spectra, small thermal quenching and high reliability.<sup>2–4</sup>

Although there are a large number of phosphors that have been discovered or developed for use in solid state lighting, a very limited number of them can be applied to white LEDs practically. Besides the problems of the emission color, quantum efficiency, spectral shape and cost, the luminescence degradation is a big challenge for those phosphors that fail to

be commercialized. The luminescence degradation of a phosphor is usually caused by thermal, chemical or irradiation attacks. The degradation beyond a certain degree required by the practical applications will significantly reduce the reliability and shorten the lifetime of white LED devices, making a phosphor to lose its function as a wavelength converter, even though it has high quantum efficiency and useful emission colors.<sup>5</sup> For example, both alkaline earth thiogallates ( $\text{SrGaS}_4\text{:Eu}$ ) and alkaline earth sulfides ( $\text{CaS:Eu}$  and  $\text{SrS:Eu}$ ) exhibit very promising photoluminescence properties, and they are highly sensitive to moisture.<sup>6,7</sup> The alkaline earth orthosilicates ( $\text{M}_2\text{SiO}_4\text{:Eu}$ , M = Ca, Sr, Ba) also show very high efficiency and suitable colors, but they are easily attacked by moisture, heat and photo-irradiation.<sup>1,3</sup> The moisture-induced degradation can be significantly minimized by coating a water-resistant layer on the phosphor surface. The thermal degradation, however, relies closely on the crystal structure, band gap, particle quality and composition of a phosphor, which is hardly reduced by the simple surface coating.

Compared to traditional sulfide and some oxide phosphors, (oxy)nitride ones usually show high thermal/chemical stability due to their stiff structure built up on a  $\text{SiN}_4$  tetrahedral framework.<sup>1,2,8</sup> For instance, there are no changes in luminous efficiency of Ca- $\alpha$ -sialon:Eu ( $\text{Ca}_{m/2}\text{Si}_{12-(m+n)}\text{Al}_{m+n}\text{O}_n\text{N}_{16-n}$ ,  $m$  and  $n$  represent the number of Al–N pairs and Al–O pairs substituting for Si–N pairs, respectively) and  $\beta$ -sialon:Eu ( $\text{Si}_{6-z}\text{Al}_z\text{O}_z\text{N}_{8-z}$ ,  $z$  represents the number of Al–O pairs substituting for Si–N pairs) when they are exposed to 85 °C/85% HR test and light irradiation for 3000 h.<sup>9</sup>  $\text{SrSi}_2\text{O}_2\text{N}_2\text{:Eu}^{2+}$  is one of the important green phosphors for enhancing the color rendition and luminous efficiency of white LEDs.<sup>10</sup> Moreover, its color can be tuned to yellow or blue-green by substituting Ba for Sr.<sup>11</sup>  $\text{SrSi}_2\text{O}_2\text{N}_2$  has a layered structure consisting of  $\text{SiON}_3$  tetrahedra that are condensed *via* N atoms bridging three of the

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Si atoms, while the O atoms are terminally bound to the Si atom. The  $\text{Sr}^{2+}$  ions are surrounded by six O atoms to form a distorted trigonal prism, which is capped by a single N atom.<sup>12</sup> In comparison with the famous  $\beta$ -sialon: $\text{Eu}^{2+}$  phosphor,  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  has higher absorption (80%) and external quantum efficiency (69%) when excited at 450 nm.<sup>1,5,6</sup>

The thermal stability of  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  is usually studied by *in situ* measuring the thermal quenching behavior of the sample heated up to  $\sim 300^\circ\text{C}$  and held at each temperature for several minutes. A high thermal quenching temperature of  $\sim 500^\circ\text{C}$  is reported for  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}$ .<sup>14</sup> But thermal quenching does not have the same meaning as thermal degradation, and thus the thermal stability of a phosphor cannot be evaluated comprehensively. This is because most phosphors can have their luminescence efficiency recovered upon cooling, and no degradation but luminescence quenching is observed in this measurement. Thermal quenching is thus called an elastic deformation of luminescence intensity, whereas thermal degradation is a plastic deformation that cannot be recovered when the external attacks are removed. In this work, we attempt to evaluate the thermal degradation of  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  by recording the changes in photoluminescence intensity of the samples baked in air at high temperature. The degradation mechanism will be discussed and clarified by conducting the surface state analysis using various techniques.

## 2. Experimental section

### 2.1 Sample preparation

The powder phosphor samples ( $\text{Sr}_{1-x}\text{Eu}_x\text{Si}_2\text{O}_2\text{N}_2$ ) were synthesized by the conventional solid-state reaction in one step.  $\text{SrCO}_3$  (Aladdin, >99.9%),  $\alpha\text{-Si}_3\text{N}_4$  (SN-E10, Ube Industries, Tokyo) and  $\text{Eu}_2\text{O}_3$  (Alfa Aesar, REacton 99.999%) were used as starting materials. As the commercially available  $\alpha\text{-Si}_3\text{N}_4$  powder usually contains some amounts of  $\text{SiO}_2$  as a surface layer due to partial oxidation,  $\text{SiO}_2$  was not further added. Appropriate amounts of starting powders with the Sr to Si ratio of 1 : y ( $y = 1.95\text{--}2.20$ ) were weighed out and mixed in an alumina mortar. 2 gram of the powder mixture was then loaded in an alumina crucible, and fired at  $1500^\circ\text{C}$  for 6 h under the flowing 5%  $\text{N}_2/\text{H}_2$  atmosphere in a tube furnace. After firing, the sample was cooled down to room temperature in the furnace naturally. The powder samples were finely ground with an agate mortar for further experiments. The post-treatment of the as-prepared samples was performed by annealing them at the same firing temperature for 12 h, followed by washing the powders using diluted hydrochloric acid and deionized water.

### 2.2 Characterization

The phase purity of samples was analyzed by powder X-ray diffraction using Cu K $\alpha$  radiation ( $\lambda = 1.54056 \text{ \AA}$ ) at 40 kV and 40 mA with a graphite monochromator (Bruker, D8 Advance, Germany). The photoluminescence spectra were recorded at room temperature using a fluorescent spectrophotometer (F-4600, Hitachi Ltd., Japan) with a 200 W Xe-lamp under a working voltage of 700 V. The excitation and emission slits were

both set at 2.5 nm, and the scanning speed was  $1200 \text{ nm min}^{-1}$ . The morphology of samples was observed with a scanning electron microscope (SEM) equipped with a black scattered electron image (FEI Quanta FEG 250). Transmission electron microscopic (TEM) micrographs and high resolution transition electron microscopy (HR-TEM) images were obtained on a Tecnai F20 (America, FEI) electron microscope. The particle size distribution was measured with a laser diffraction analyzer (Microtrac S3500, America). Thermogravimetric analysis (TGA) and differential thermal analysis (DTA) were conducted using a Pyris Diamond (Perkin-Elmer, America). The high temperature *in situ* XRD patterns were obtained by using a High-Temperature Oven-Chamber: HTK 1200 N (Bruker, D8 Advance, Germany) in air up to  $1100^\circ\text{C}$ , in order to study the evolution of crystalline phases during heating. The surface elements of powders were analyzed by X-ray photoelectron spectroscopy (AXIS Ultra DLD, Shimadzu).

## 3. Results and discussion

### 3.1 Phase identification

Extensive investigations of  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  have been carried out in the literature, mainly focusing on synthesis and photoluminescence properties.<sup>11,15–29</sup> To the best of our knowledge, it is still a challenging task to synthesize phase pure  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  by the conventional solid-state reaction method.<sup>14,30</sup> Orthosilicates (e.g.  $\text{Sr}_2\text{SiO}_4$  and  $\text{SrSiO}_3$ ) are usually formed as impurity phases, which definitely damage the reliability of the dominant  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  phase due to their low thermal/chemical stability. Therefore, it is quite important to achieve a pure phase of  $\text{SrSi}_2\text{O}_2\text{N}_2$  by carefully controlling the chemical composition and processing conditions.

In the Sr–Si–O–N system, two phases ( $\text{X}_1$ -phase and  $\text{X}_2$ -phase) were identified by Zhu.<sup>31</sup> The  $\text{X}_1$ -phase ( $\text{SrO} : \text{SiO}_2 : \text{Si}_3\text{N}_4 = 1 : 1/2 : 1/3$ ) is a low-temperature phase, a single phase which can be obtained at  $1300^\circ\text{C}$ . It transforms to a high-temperature  $\text{X}_2$ -phase ( $\text{SrO} : \text{Si}_3\text{N}_4 = 1 : 2/3$ ) as temperature rises. A single  $\text{X}_2$ -phase can be achieved at  $1600^\circ\text{C}$ . As shown in Fig. 1, the amount of  $\text{Si}_3\text{N}_4$  in the starting powder plays a key role in controlling the phase assemblage of the fired product. A single phase of  $\text{SrSi}_2\text{O}_2\text{N}_2$  is obtained when y is larger than 1.95. Below this value,  $\text{SrSiO}_3$  appears as an impurity phase. The result is in

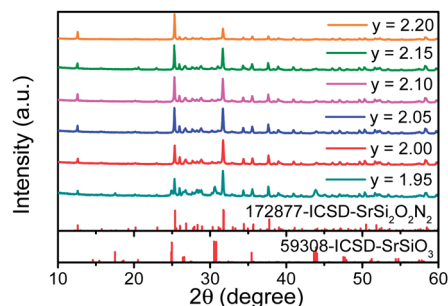


Fig. 1 XRD patterns of the  $\text{SrSi}_2\text{O}_2\text{N}_2:0.05\text{Eu}^{2+}$  ( $y = 1.95, 2.00, 2.05, 2.10, 2.15$ , and  $2.20$ ) phosphor.

good agreement with that reported by Li, *i.e.*,<sup>15</sup> phase pure  $\text{SrSi}_2\text{O}_2\text{N}_2$  can be formed without adding  $\text{SiO}_2$  as the starting material. The composition of  $y = 2$  is the  $\text{X}_2$ -phase reported by Zhu.<sup>31</sup> An oxygen-rich phase forms when  $y$  is 2.0–2.10, and a nitrogen-rich phase produces when  $y$  is 2.10–2.20.<sup>15</sup>

### 3.2 Photoluminescence properties

Fig. 2 presents the effect of the amount of  $\text{Si}_3\text{N}_4$  on photoluminescence spectra of the fired  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  (5 mol%) phosphors. As seen, the photoluminescence spectra do not change in shape and position but in intensity as the  $\text{Si}_3\text{N}_4$  content varies. The low photoluminescence intensity at  $y = 1.95$  is ascribed to the presence of the impurity  $\text{SrSiO}_3$  phase. The highest intensity is observed at  $y = 2.10$ , and this composition is used for further study.

The photoluminescence properties of  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  were investigated by varying the Eu concentration ( $x = 0.01$ – $0.15$ ). As shown in Fig. 3, the concentration quenching occurs at  $x = 0.03$  where the intensity reaches its maximum. The optimal concentration is a little bit different from  $x = 0.04$  reported by Li,<sup>15</sup> perhaps due to the difference in starting powders and processing conditions. The emission band is seen to red-shift by  $\sim 20$  nm when the  $\text{Eu}^{2+}$  concentration increases. One can note that the right wing of the excitation band is enhanced as the  $\text{Eu}^{2+}$  concentration increases, implying that the 5d energy levels of  $\text{Eu}^{2+}$  are slightly lowered. Moreover, the emission band also broadens with increasing  $\text{Eu}^{2+}$  concentration. Both of these changes account for the redshift of the emission band. Correspondingly, the chromaticity coordinates shift from (0.294, 0.639) to (0.399, 0.577) for samples with  $x = 0.01$  and 0.15.

Post-treatments of  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  were conducted with the aim to improve the photoluminescence properties, which include prolonged annealing, powder smashing, and acid washing. These treatments play a role in reducing surface defects, removing the tiny residues, and narrowing the particle size distribution, and thus enhance the absorption of the incident light and decrease the nonradiative transition probability.<sup>13</sup> Fig. 4 presents the particle morphology and size distribution of  $\text{SrSi}_2\text{O}_2\text{N}_2:0.03\text{Eu}^{2+}$  before and after

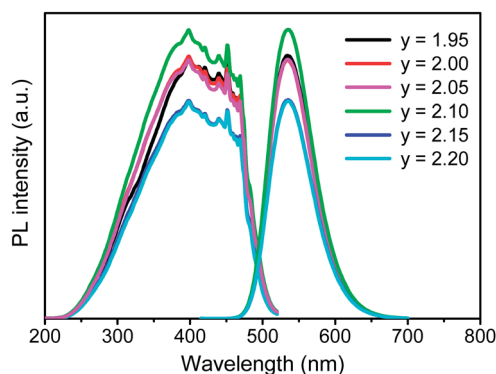


Fig. 2 Photoluminescence spectra of the  $\text{SrSi}_y\text{O}_2\text{N}_2:0.05\text{Eu}^{2+}$  ( $y = 1.95, 2.00, 2.05, 2.10, 2.15$ , and  $2.20$ ) phosphor ( $\lambda_{\text{ex}} = 397$  nm and  $\lambda_{\text{em}} = 534$  nm).

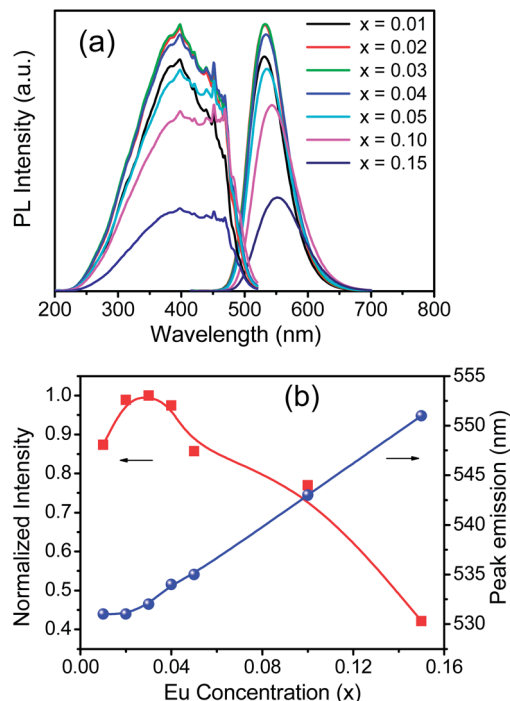


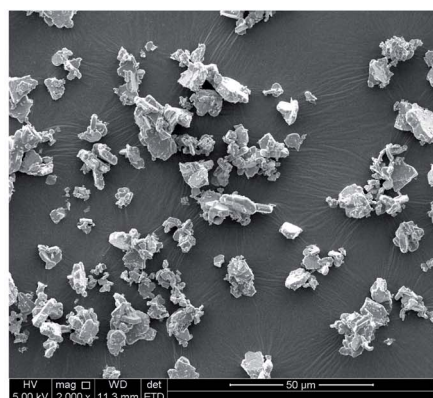
Fig. 3 (a) Photoluminescence spectra and (b) normalized emission intensity and the peak wavelength as a function of the  $\text{Eu}^{2+}$  concentration ( $\lambda_{\text{ex}} = 397$  nm).

post-treatment. One can see that the as-prepared sample (without treatment) shows large agglomerates consisting of many small particles. After the post-treatment, the particle coarsens and has a smooth surface. Furthermore, the as-prepared sample displays a bimodal particle size distribution with an average size of  $D_{50} = 7.35$   $\mu\text{m}$ , whereas the post-treated sample exhibits a very narrow particle size distribution with an average size of  $D_{50} = 14.86$   $\mu\text{m}$ . Consequently, the PL intensity is improved by 25% for the post-treated phosphor.

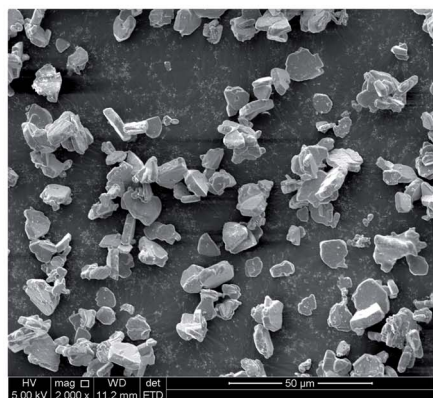
### 3.3 Thermal degradation under high temperature baking

Thermal stability of a phosphor is considered as one of the technologically important parameters, which determines the reliability of white LEDs.<sup>13</sup> Usually, thermal stability of a phosphor is evaluated by measuring the emission intensity and spectrum of the sample that is heated at varying temperatures for several minutes. However, the holding time at a certain temperature is too short to study the thermal stability comprehensively. In this work, we attempt to investigate the thermal stability of  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  by recording the photoluminescence spectrum of the phosphor samples baked in air for 2 h at temperatures up to 600  $^{\circ}\text{C}$ . This will help us understand both the oxidation resistance and the thermal degradation mechanism of the phosphor investigated.

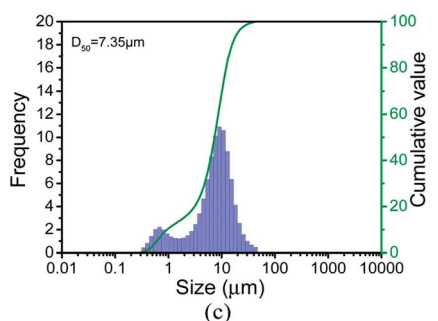
As shown in Fig. 5, the luminescence intensity tends to decline as the baking temperature rises, indicating that the thermal degradation occurs when the phosphor powder is exposed to air at high temperature. The luminescence is degraded by  $\sim 10\%$  when the baking temperature is below



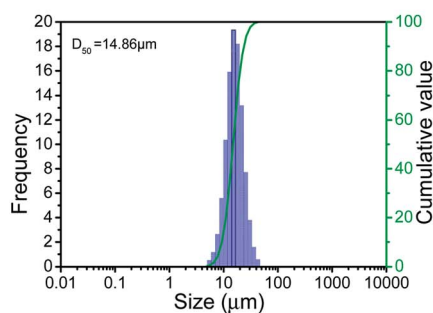
(a)



(b)

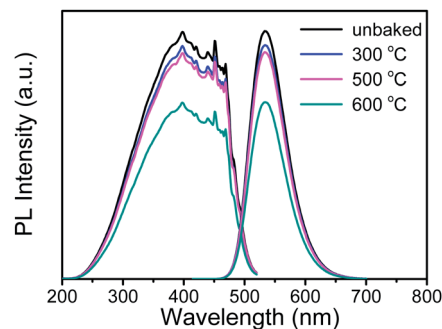


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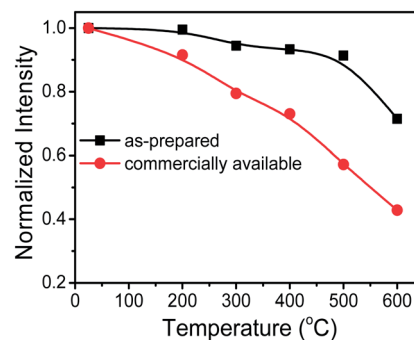


(d)

Fig. 4 SEM images of the (a) as-prepared sample and (b) post-treated sample; and the particle size distribution of the (c) as-prepared sample and (d) post-treated sample.



(a)



(b)

Fig. 5 (a) PL excitation and emission spectra of the  $\text{SrSi}_2\text{O}_2\text{N}_2:0.03\text{Eu}^{2+}$  phosphor baked at various temperatures and (b) the normalized intensity as a function of the baking temperature ( $\lambda_{\text{ex}} = 398$  nm and  $\lambda_{\text{em}} = 534$  nm). For comparison, the data of a commercially available phosphor are included.

500 °C, but reduced suddenly by 30% at 600 °C. It means that the phosphor surface changes greatly when the sample is baked at 600 °C. Compared to a commercially available  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}$  phosphor, the phosphor prepared in this work shows much smaller thermal degradation. For example, the efficiency of the commercial one degrades by 40 and 55% at 500 and 600 °C, respectively. This great difference is attributable to the following facts: (i) the commercial one contains some amounts of impurity orthosilicate phases that have lower thermal stability and (ii) the crystallinity of the commercial phosphor is lower than that of the phosphor prepared in this work (Fig. 6). It clearly implies that both the phase purity and particle

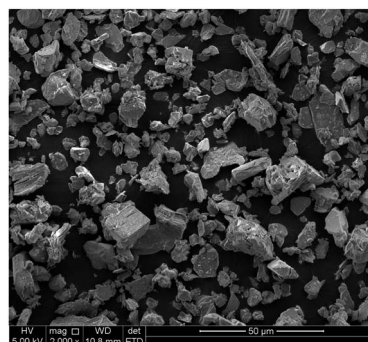


Fig. 6 SEM image of a commercially available  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  phosphor.



crystallinity (*i.e.*, the surface state) have a great impact on the thermal degradation of a phosphor.

### 3.4 TEM observations of the surface states of phosphors

To clarify the thermal degradation mechanism, the surface states of the baked phosphor were analyzed by TEM observations and XPS techniques. As shown in Fig. 7a, the sample before baking shows well crystallized particles with clean surfaces. On the other hand, there appears some protuberance on the surface of phosphor particles when baked at 600 °C (Fig. 7b). These tiny particles on the phosphor surface are identified to be  $\text{SrSiO}_3$  in both amorphous and crystalline forms. It indicates that the oxidation of  $\text{SrSi}_2\text{O}_2\text{N}_2$  happens under high temperature baking, leading to the formation of  $\text{SrSiO}_3$  attached to the surface of  $\text{SrSi}_2\text{O}_2\text{N}_2\text{:Eu}^{2+}$  particles. As the orthosilicate phase has rather low thermal stability, it thus accounts for the thermal degradation of  $\text{SrSi}_2\text{O}_2\text{N}_2\text{:Eu}^{2+}$ .

### 3.5 X-ray photoelectron spectroscopy (XPS)

The surface states of phosphors were also investigated by XPS. Fig. 8 presents high resolution XPS spectra of O 1s, N 1s, Si 2p, and Eu 4d for phosphors before and after baking at 600 °C for 2 h. The O 1s core-level XPS spectrum displays an asymmetric shape, which can be deconvoluted into two main peaks and one side peak. The binding energy of O 1s in  $\text{H}_2\text{O}$ ,  $\text{SiO}_2$  and  $\text{SrO}$  is

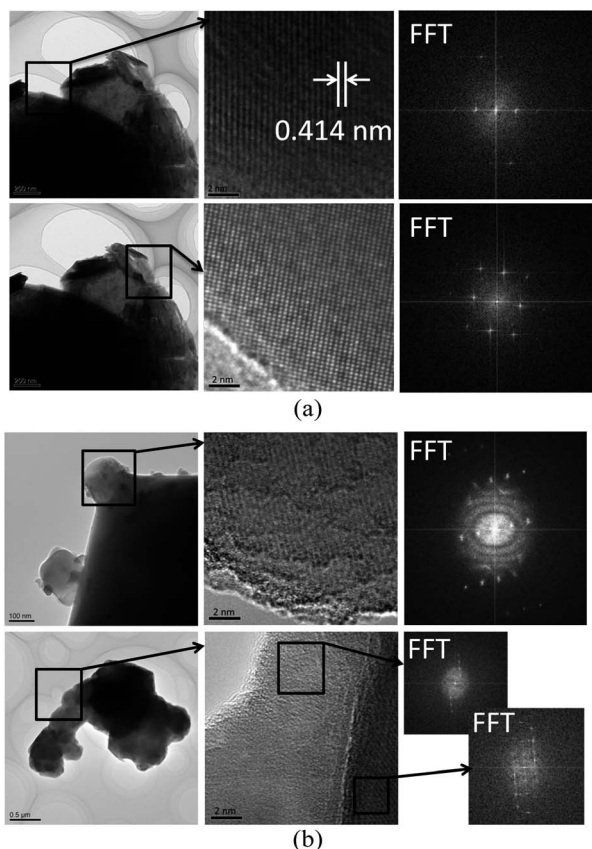


Fig. 7 TEM and HRTEM images of phosphor powders without baking (a) and baked at 600 °C (b), respectively (FFT = Fast Fourier Transform).

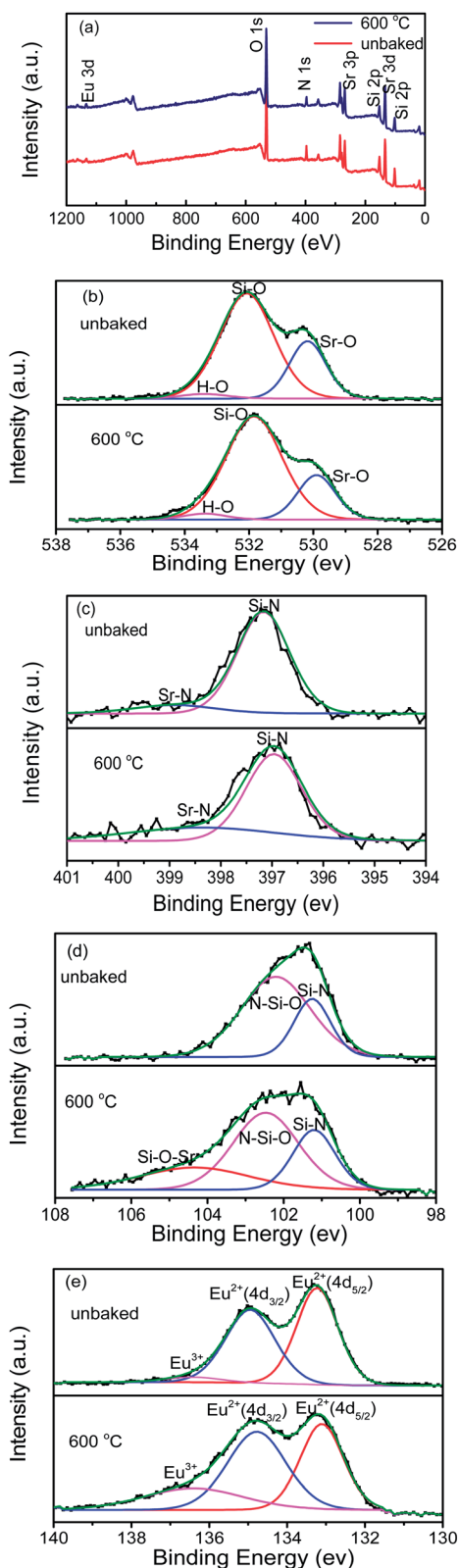


Fig. 8 Wide scan spectra of the  $\text{SrSi}_2\text{O}_2\text{N}_2\text{:0.03Eu}^{2+}$  phosphor as-prepared (a) and XPS spectra of O 1s (b), N 1s (c), Si 2p (d), and Eu 4d (e), respectively.

533.0, 532.0 and 530.5 eV, respectively.<sup>32–35</sup> Therefore, the highest BE (533.40 eV) is assigned to the O–H bonds, 532.07 eV is attributed to Si–O bonds, and the lowest BE (530.18 eV) is associated with Sr–O bonds.<sup>32–35</sup> The O 1s XPS spectrum of the baked sample is seen to slightly shift to lower binding energy and to be stronger than that of the unbaked sample. The core-level XPS spectrum of N 1s shows nearly a symmetric shape. The binding energy at 397.17–396.97 eV and 398.91–398.38 eV is ascribed to N 1s of the Si–N and Sr–N bonds, respectively.<sup>36,37</sup>

Unlike the O 1s and N 1s core-level XPS spectra, the core-level Si 2p and Eu 4d spectra of the unbaked phosphor are obviously different from those of the baked sample. The Si 2p spectrum of the unbaked sample can be deconvoluted into two peaks located at 101.25 and 102.21 eV, whereas three peaks can be fitted for the spectrum of the baked sample, with an additional peak at 104.56 eV. The binding energy at 102.21 eV is assigned to SiON<sub>3</sub> bonds, and the binding energy at 101.25 eV is assigned to the Si–N bond.<sup>38</sup> The highest binding energy at 104.56 eV is associated with Si–O–Sr bonds,<sup>39</sup> which resulted from SrSiO<sub>3</sub> formed on the surface of the baked powder. The core-level Eu 4d spectra of both the unbaked and baked samples can be deconvoluted into three peaks at 133.11–133.23, 134.78–134.95 and 136.41–136.42 eV. The two main peaks of the spectra (133.11–133.23 and 134.78–134.95 eV) are due to the divalent europium ions and the side peak at 136.41–136.42 eV is assigned to the trivalent europium ions.<sup>40,41</sup> As seen, the intensity of Eu<sup>3+</sup> becomes stronger in the baked phosphor, indicating the oxidation of Eu<sup>2+</sup> upon high temperature baking (Table 1).

The oxidation process is expressed by the following equation:<sup>42</sup>



where V<sub>O</sub> is an oxygen vacancy and O<sub>O</sub><sup>2–</sup> is an oxygen ion of the lattice. The oxidation is controlled by the mobility of the Eu<sup>2+</sup> ion rather than that of oxygen vacancies, and it happens more likely at the phosphor surface.

### 3.6 TGA and DTA analyses

The thermogravimetric analysis (TGA) and the differential thermal analysis (DTA) curves were recorded by heating the

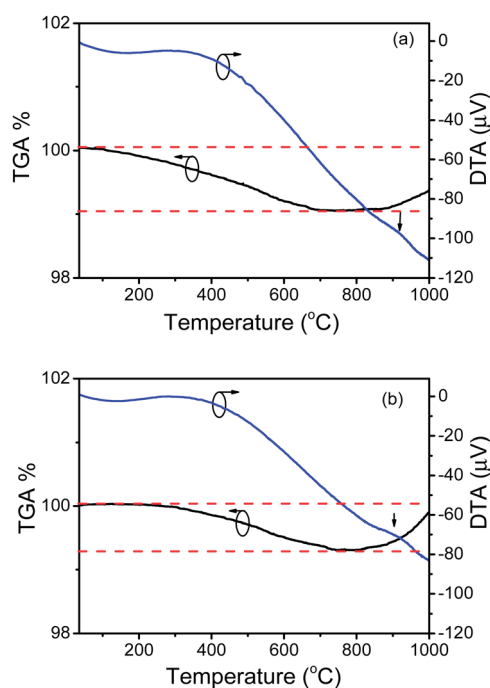
**Table 1** The corresponding XPS fitting parameters for the samples unbaked and baked at 600 °C

Element	Unbaked (eV)	Peak intensity (%)	600 °C (eV)	Peak intensity (%)
O 1s	533.40	2.75	533.37	3.24
	532.07	70.87	531.84	75.06
	530.18	26.38	529.90	21.71
N 1s	398.91	11.49	398.38	28.74
	397.17	88.51	396.97	71.26
	—	—	104.34	24.33
Si 2p	102.21	71.96	102.47	50.34
	101.25	28.04	101.20	25.33
	—	—	104.56	24.33
Eu 4d	136.42	3.49	136.41	20.49
	134.95	45.87	134.78	43.94
	133.23	50.64	133.11	35.57
	—	—	136.42	20.49

phosphor powders up to 1000 °C in air at a heating rate of 5 °C min<sup>–1</sup>. As shown in Fig. 9, both the present phosphor and the commercial one firstly undergo a weight loss when heated up to 700 °C, and gain weight again when further heated to 1000 °C. The weight loss can be ascribed to the release of absorbed water molecules and the residual carbon from the decomposition of the carbonate starting powder. The weight gain is attributable to the oxidation of the phosphor powder when it is exposed to air at high temperature. Compared to the phosphor prepared in this work, the commercial one gains more weight due to oxidation, indicating that the commercial phosphor is more easily oxidized. In addition, an exothermic peak appears at 900 °C for both samples, which is due to the oxidation and is consistent with the weight gain. Furthermore, the intensity of this exothermic peak is more pronounced for the commercial phosphor, which further evidences its easy oxidation. Therefore, we can come to a conclusion that the thermal stability of the present phosphor is superior to that of the commercial one.

### 3.7 High temperature *in situ* XRD patterns

To elucidate clearly the structural evolution of the phosphor under high temperature baking, the high temperature *in situ* X-ray diffraction patterns were measured and are shown in Fig. 10. One can see that no impurity phases are identified when the sample is heated to 600 °C. Although some amorphous and crystalline SrSiO<sub>3</sub> phase can be formed on the phosphor surface at 600 °C as discussed before, the total volume of SrSiO<sub>3</sub> is too low to be detected by XRD. The SrSiO<sub>3</sub> phase, however, is clearly seen in the sample baked at 1000 °C. It means that the phosphor is seriously oxidized at high temperature.



**Fig. 9** TGA and DTA curves of the SrSi<sub>2</sub>O<sub>2</sub>N<sub>2</sub>:0.03Eu<sup>2+</sup> phosphor (a) as-prepared and (b) commercially available.

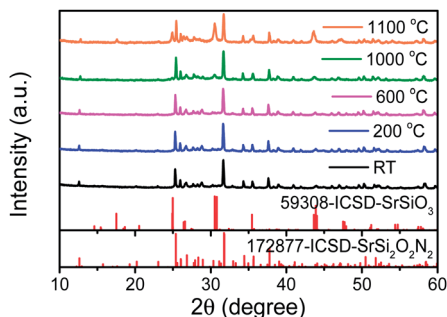


Fig. 10 High temperature *in situ* XRD pattern of the as-prepared  $\text{SrSi}_2\text{O}_2\text{N}_2:0.03\text{Eu}^{2+}$  phosphor.

### 3.8 Thermal degradation mechanism

Extensive studies have been carried out to clarify the mechanism for thermal quenching of LED phosphors, but very few to the thermal degradation mechanism. For the thermal quenching, two dominant models have been proposed to account for the luminescence quenching, *i.e.*, Stokes shift and photoionization. The Stokes shift model is related to the large displacement of the excited state away from the ground state of activators in the configuration coordinate diagram, and the photoionization one to the distance between the bottom of the conduction band and the highest position of the energy levels of the excited activator ions.<sup>5</sup> Differing from thermal quenching, thermal degradation is closely associated with changes in surface states, composition, valence of activators, *etc.* In most cases these changes are not irreversible, thus permanently reducing the luminescence efficiency of the phosphor. For example, the thermal degradation of  $\text{BaMgAl}_{17}\text{O}_{19}:\text{Eu}^{2+}$  (an important blue phosphor for PDPs) during baking is mainly caused by the oxidation of  $\text{Eu}^{2+}$  to  $\text{Eu}^{3+}$ .<sup>42,43</sup> As BAM has a layered structure in which  $\text{Eu}^{2+}$  ions are sandwiched in between the conduction layers,  $\text{Eu}^{2+}$  ions are thus easily attacked by oxygen.<sup>44</sup>

As for  $\text{SrSi}_2\text{O}_2\text{N}_2$ , it also has a layered structure in which  $\text{Eu}^{2+}$  ( $\text{Sr}^{2+}$ ) is located in between the  $\text{SiON}_3$  layers (Fig. 11). Much like  $\text{BAM}:\text{Eu}^{2+}$ , the divalent europium ions in  $\text{SrSi}_2\text{O}_2\text{N}_2$  are therefore easily changed to trivalent ones *via* oxidation, as evidenced in the core-level Eu 4d XPS spectra. This is quite different from the status of  $\text{Eu}^{2+}$  in  $\alpha$ -sialon where it is caged in a polyhedron  $[\text{Eu}(\text{O},\text{N})_7]$ , so that  $\text{Eu}^{2+}$  is well protected from oxidation.<sup>45,46</sup> Besides the valency change of  $\text{Eu}^{2+}$ , the oxidation of the host crystal  $\text{SrSi}_2\text{O}_2\text{N}_2$  also occurs at high temperature, leading to the formation of  $\text{SrSiO}_3$ , as seen from the TEM images and XRD patterns. Therefore, the thermal degradation of  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  can be ascribed to (i) oxidation of activators ( $\text{Eu}^{2+} \rightarrow \text{Eu}^{3+}$ ) and (ii) oxidation of the host crystal ( $\text{SrSi}_2\text{O}_2\text{N}_2 \rightarrow \text{SrSiO}_3$ ). The mechanism is schematically illustrated in Fig. 12.

As discussed above, thermal degradation lies greatly on the oxidation of phosphors, which is also addressed in  $\text{Sr}_2\text{Si}_5\text{N}_8:\text{Eu}^{2+}$ .<sup>47</sup> In order to minimize the thermal degradation, it is of great importance to protect phosphors from oxidation by surface coatings as reported in  $\text{BAM}:\text{Eu}^{2+}$ . In addition, by comparing the degradation behaviors of two  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$

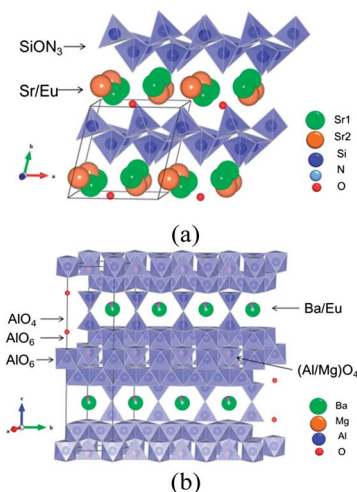


Fig. 11 Crystal structures of (a)  $\text{SrSi}_2\text{O}_2\text{N}_2$  and (b) BAM, showing a similar environment around the  $\text{Eu}^{2+}$  activators.

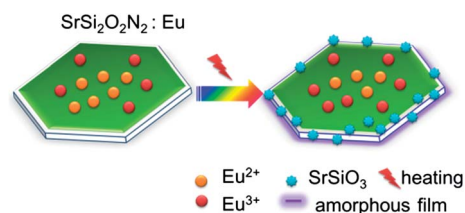


Fig. 12 A schematic showing the thermal degradation mechanism of  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$ .

particles investigated in this work, the thermal degradation can also be reduced by improving the crystallinity of phosphor particles and synthesizing high phase-pure phosphors.

## 4. Conclusions

In summary, a single phase  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}^{2+}$  phosphor was obtained by the careful control of the Sr/Si ratio in the starting powder. Compared to a commercially available phosphor, the  $\text{SrSi}_2\text{O}_2\text{N}_2:\text{Eu}$  phosphor prepared in this work showed less thermal degradation. With the aid of various analytic techniques, the thermal degradation mechanism was clarified, and was attributed to (i) oxidation of  $\text{Eu}^{2+}$  to  $\text{Eu}^{3+}$  and (ii) the formation of the  $\text{SrSiO}_3$  phase on the phosphor particle surface.

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